

Intermediary Firm & Adviser Registration

Please use this form to register your firm and Advisers to enable mortgage business to be submitted to the Society or to amend details of your existing registration.

Detail of Firm

Name of Principal Contact

Name of Firm

Registered address

Postcode

Telephone number

Email address

Firm's FCA number

IF AN APPOINTED REPRESENTATIVE please provide:

- the name of your Network

- the FCA number of your Network

IF DIRECTLY AUTHORISED - Bank Details for procurement fee payments

Sort Code

Account Number

Account Name

Bank/Building Society name

Email address

(for notification of payment)

Payment terms:

Procurement fees will be paid to the Intermediary by BACS credit in the month following completion of the mortgage.

IF USING A MORTGAGE CLUB – name of preferred club

The Intermediary's Obligations

The intermediary warrants and represents that it:

- is the agent of its Client and has the authority to act on behalf of its Client.
- holds all relevant legal, regulatory and other authorisations necessary for carrying out its business and for referring business to us.
- conducts its business in accordance with the requirements of all relevant laws and regulations.

The intermediary agrees to inform us immediately in the event that:

- it ceases to act on behalf of its Client.
- its relevant permissions and authorisations to carry out its business are revoked or amended.
- any personnel, employee, consultant or partner in the Intermediary's business are charged with any offence involving fraud or dishonesty, or are investigated or disciplined by the FCA or where any of its authorisations, registrations or permissions needed to conduct all or part of its business lawfully are not current or are believed to be in jeopardy.
- an Adviser leaves or ceases to be authorised to advise.
- it commits a material breach of any laws or regulations.

The intermediary undertakes to:

- comply with the lending policy as notified by us.
- act honestly and professionally in the interests of the client, including (but not limited to) ensuring that the mortgage is suitable for its clients where the intermediary gives advice.
- give its best endeavours to provide information to us which is true and complete in all material respects. The Intermediary will advise us as soon as it is aware that such information is or becomes untrue or incomplete.
- carry adequate insurance cover with a reputable insurer to cover all of its insurable liability under this agreement and for 6 years after the intermediary stops introducing business. The policy and premium receipts should be made available for inspection by us at any time.

Firm's adviser details

[illegible]

Online permissions

In order to submit mortgage business to the Society, you will first need to register online www.thevernon.co.uk/MortgageIntermediaries. The system allows up to two users within your firm to have 'Super User' status. Super Users are able to view the application data and progress of all registered users within your firm. Please state the names of those individuals who require this facility.

Super User 1

Super User 2

Declaration

I/We hold current authorisation from the Financial Conduct Authority (FCA) for advising on and arranging mortgages or that I/We am/are Appointed Representative of an organisation authorised by the FCA for conducting such activities.

I/We agree that in accordance with Money Laundering Regulations 2007, together with the guidance notes issued by the Joint Steering Group that I/We will verify and record the identity of all mortgage applicants before passing the application to the Society and forwarding the required documentation.

I/We confirm that we will notify the Society immediately if: any of my/our permissions are withdrawn, I/we are under investigation for breach of the Financial Services and Markets Act 2000 or investigation by any other regulatory body.

I/We confirm I/We have read and accept the Society's Intermediary Data Protection Agreement.

I declare that the information given in this document and on any separate sheets is true and complete.

This form should be signed by the Managing Director, or a suitably authorised individual within the firm, who is a named individual on the Financial Services Register.

Signature

Date

Print Name

Position

Please return to: info@vernonintermediaries.co.uk

Marketing Preferences

I consent to receive the occasional marketing email containing information about Vernon Building Society intermediary products, services and news. ☐

I consent to receive phone calls about Vernon Building Society intermediary products and services ☐

I consent to receive direct mail containing information about Vernon Building Society intermediary products and services ☐

You can change your preferences at any time by emailing communications@thevernon.co.uk, calling 0161 429 6262 or writing to: Marketing Communications, Vernon Building Society, 19 St Petersgate, Stockport SK1 1HF

For office use only

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